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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Ecole Polytechnique Federale de Lausanne (EPFL)  
 Application No./Patent No.: not yet assigned Filed/Issue Date: not yet filed

Titled:

EPFL

(Name of Assignee)

, a

university

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- the assignee of the entire right, title, and interest in;
- an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
- the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made) the patent application/patent identified above, by virtue of either:
  - An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 017180, Frame 0457, or for which a copy therefore is attached.
  - A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

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Additional documents in the chain of title are listed on a supplemental sheet(s).

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Harriet Strimpe

Signature

Harriet Strimpe

Printed or Typed Name

8/11/10

Date

Chief IP Counsel

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEBRUARY 16, 2006

PTAS



\*103115309A\*

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

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DOC DATE: 03/29/2005

ASSIGNOR:

JOHNSON, KAI

DOC DATE: 03/23/2005

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SERIAL NUMBER: 10529651

FILING DATE: 03/31/2005

PATENT NUMBER:

ISSUE DATE:

TITLE: SUBSTRATES FOR O6-ALKYLGUANINA-DNA ALKYLTRANSFERASE

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PAULA MCCRAY, EXAMINER  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

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JC13 Rec'd PCT/PTO 31 MAR 2004

FORM PTO-1595 (modified)		R	11-08-2005	HEET	U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office
<p>To the Honorable Assistant Secretary an. thereof.</p> <p style="text-align: center;">103115309</p> <p><b>1. Name of conveying party(ies):</b></p> <p>Mark KINDERMANN, Kai JOHNSON and Christoph BIERI</p> <p>Additional name(s) of conveying party(ies) attached? NO</p> <p><b>3. Nature of conveyance:</b></p> <p><input checked="" type="checkbox"/> Assignment      <input type="checkbox"/> Merger  <input type="checkbox"/> Security Agreement      <input type="checkbox"/> Change of Name  <input type="checkbox"/> Other</p> <p>Execution Date: March 23 &amp; 29, 2005</p> <p><b>4. Application number(s) or patent number(s):</b></p> <p>If this document is being filed together with a new application, the execution date of the application is: March 23 &amp; 29, 2005</p> <p>A. Patent Application No.(s) NEW, filed March 31, 2005</p> <p>B. Patent No.(s) , issued</p> <p>Additional numbers attached? NO</p> <p><b>5. Name and address of party to whom correspondence concerning document should be mailed:</b></p> <p>Name: WENDEROTH, LIND &amp; PONACK, L.L.P. Attn: Michael R. Davis, Esq.</p> <p>Street Address: 2033 K Street, N.W., Suite 800</p> <p>City: Washington, State: DC ZIP: 20006-1021</p> <p><b>6. Total number of applications and patents involved: 1</b></p> <p><b>7. Total fee (37 CFR 3.41) . . . . . \$40.00</b></p> <p><input checked="" type="checkbox"/> Enclosed (Check No. _____) Authorized to be charged to deposit account</p> <p><b>8. Deposit account number: 23-0975</b></p> <p>(Attach duplicate copy of this page if paying by deposit account)</p> <p style="text-align: center;"><b>DO NOT USE THIS SPACE</b></p> <p><b>9. Statement and signature.</b> <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i></p> <p>Michael R. Davis, Reg. No. 25,134 Name of Person Signing  Signature</p> <p>March 31, 2005 Date</p> <p><b>Total number of pages including cover sheet: 2</b></p> <p>OMB No. 0651-0011 (exp. 4/04)</p> <p>Do not detach this portion</p> <p>Mail documents to be recorded with required cover sheet information:</p> <p>4/06/2005 SFREY1 00000038 10529451 40.00 ip</p> <p>Commissioner and Assistant Secretary of Patents and Trademarks Box Assignments Washington, D.C. 20231</p> <p>THE FILER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEE FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975</p> <p>Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding the burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and the Office of Management and Budget, Paperwork Reduction Project (0651-0011), Washington, D.C. 20503.</p>					

### ASSIGNMENT

I / We Malik KINDERMANN, Meltingerstrasse 7, 4053 Basel, Switzerland  
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for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to ECOLE POLYTECHNIQUE FEDERAL DE LAUSANNE (EPFL), a Swiss legal entity, of SRI, CM-Ecublens, 1015 Lausanne, Switzerland, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

#### SUBSTRATES FOR O<sup>6</sup>-ALKYLGUANINE-DNA ALKYLTRANSFERASE

Invented by me/us and described in the international application

No. PCT/EP2003/010889 filed 1 October 2003

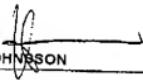
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And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said ECOLE POLYTECHNIQUE FEDERAL DE LAUSANNE (EPFL)

  
Malik KINDERMANN

29.3.2005

Date

  
Kai JOHNSON

23-3-2005

Date

  
Christoph BIERI

29.3.2005

Date